



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

7/a
11-15-03
mel

In re application of:

Gary L. Gastineau *et al.*

Appl. No. 09/536,663

Filed: March 27, 2000

For: Determining Intra-Day Net Asset Value
Proxy of an Actively Managed Exchange
Traded Fund

Art Unit: 3621

Examiner: Greene, Daniel L.

Atty. Docket: 00322.0006.US00

Reply and Amendment Under 37 C.F.R. § 1.111

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
OCT 30 2003
GROUP 3600

Sir:

In response to the Office Action dated June 27, 2003, (PTO Prosecution File Wrapper Paper No. 4), Applicant submits the following Amendment and Remarks.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 08-3038 referencing docket number 00322.0006.US00.